

Chapter : 8167 Foreign Stock Exchanges Trading Regulatory Board

Vision : Providing efficient services for Foreign Stock Exchange stakeholders.

Mission : Regulating the access and citizen transactions in the foreign stock exchanges through local companies subject to regulatory standards which maintain, as much as possible, the rights, and investments of companies and investors using control and follow up mechanisms implemented through qualified and trained staffs for this end.

Legal Framework: Foreign Stock Exchanges Trading Regulating Law No.(50) for the Year 2008(temporarylaw).

Strategic Plan :

Preparation Year :

Time Period Of Plan :

Date Of Last Update Plan :

Strategic Objectives / Performance Indicators

Strategic Objectives Description	Performance Measurement Indicators	Base Value		Actual Value	Target Value	Initial Internal Evaluation	Target		
		Base Year	Value				2010	2011	2012
		1 - Building the institutional capacities of the Board.	1 Satisfaction percentage of service' receiptant	2009	-	-	70%	-	-
2 - Regulating and controlling the work of companies dealing with the foreign stock exchanges.	1 Volume of investments in foreign exchanges (accumulative) in million	2009	-	-	150	-	-	-	-

Programs / Performance Indicators

Goal	Programs	Description of Performance Indicators	Base Value		Actual Value	Target Value	Initial Internal Evaluation	Target		
			Base Year	Value				2010	2011	2012
			1	9161 Administration and Support Services	1 Percentage of qualified employees to total employees.	2009	-	-	85%	-
2	9162 Regulation and Control	1 Number of licensed companies(accumulative).	2009	-	-	10	-	-	-	-

Programs Appropriations

Goal	Programs		Actual	Estimated	Re-estimated	Estimated	indicative	indicative	
			2010	2011	2011	2012	2013	2014	
1	9161	Administration and Support Services	Current	104200	213000	74500	43000	43000	43000
			Capital	0	100000	0	0	0	0
			Total	104200	313000	74500	43000	43000	43000
2	9162	Regulation and Control	Current	0	147000	0	0	0	0
			Capital	0	20000	0	0	0	0
			Total	0	167000	0	0	0	0
		Total of Current	104200	360000	74500	43000	43000	43000	
		Total of Capital	0	120000	0	0	0	0	
		Total of Chapter	104200	480000	74500	43000	43000	43000	

Capital Projects Appropriations

Prog.	Projects	Actual	Estimated	Re-estimated	Estimated	indicative	indicative
		2010	2011	2011	2012	2013	2014
9161	001 Administration project	0	100000	0	0	0	0
	Total Of Program	0	100000	0	0	0	0
9162	001 Regulating and controlling Program Administration Project	0	20000	0	0	0	0
	Total Of Program	0	20000	0	0	0	0
	Total	0	120000	0	0	0	0

Revenues

Chapter 8167 Foreign Stock Exchanges Trading Regulatory Board

(In JDs)

Group No.	Item	Description	Actual 2010	Estimated 2011	Re-estimated 2011	Estimated 2012	Indicative 2013	Indicative 2014
1422		Administrative Fees						
	901	Fees Collected by Independent Units						
	019	Licensing Fees	0	480000	0	0	0	0
		Total of Item	0	480000	0	0	0	0
		Total	0	480000	0	0	0	0
1452		Refund from Previous Years Expenditures						
	001	Refund from Previous Years Expenditures						
	000	Refund from Previous Years Expenditures	550000	0	15000	54000	0	0
		Total of Item	550000	0	15000	54000	0	0
		Total	550000	0	15000	54000	0	0
		Total Revenues	550000	480000	15000	54000	0	0

Overall Summary of Current Expenditures for the years 2010 - 2014

Chapter : 8167 Foreign Stock Exchanges Trading Regulatory Board

(In JDs)

Group	Item	Description	Actual 2010	Estimated 2011	Re-Estimated 2011	Estimated 2012	Indicative 2013	Indicative 2014
21		Compensations of Employees						
2111		Salaries, Wages and allowances						
	101	Classified Employees' Salaries	0	50000	0	0	0	0
	102	Permanent Unclassified Employees' Sala	2844	9500	0	0	0	0
	103	Contract Employees' Salaries	51289	142500	44300	28000	28000	28000
	113	Transportation Allowance	2500	8500	1700	2000	2000	2000
	116	Employees' bonuses	9100	26500	10000	5000	5000	5000
Total			65733	237000	56000	35000	35000	35000
2121		Social Security Contributions						
	301	Social Security	5667	23000	4000	3000	3000	3000
Total			5667	23000	4000	3000	3000	3000
22		Use of Goods and Services						
2211		Use of Goods and Services						
	201	Rents	0	25000	0	0	0	0
	202	Telecommunications Services	15151	5000	2000	1000	1000	1000
	203	Water	387	1000	0	0	0	0
	204	Electricity	3585	4000	0	0	0	0
	205	Fuels	2506	4500	1500	700	700	700
	206	Maintenance of Machines, furniture and	0	3000	700	500	500	500
	207	Maintenance of Vehicles, Heavy Duty Ma	1268	2000	1000	1000	1000	1000
	208	Repair and maintenance of buildings and	0	10000	0	0	0	0
	209	Office Supplies	1935	4000	500	300	300	300
	210	Raw materials (Medicines, Clothes, Foo	0	500	0	0	0	0
	211	Cleaning Services and supplies (includi	2562	10000	0	0	0	0
	212	Insurance	326	1000	1000	1000	1000	1000
	213	Official Travel Missions	0	4000	0	0	0	0
	214	Other goods and services expenses	3280	6000	5300	500	500	500
Total			31000	80000	12000	5000	5000	5000
28		Other expenditures						
2821		Other miscellaneous expenditures						
	302	Contributions	0	5000	0	0	0	0
	305	Non-Employees' Bonuses	1800	15000	2500	0	0	0
Total			1800	20000	2500	0	0	0
Total of Chapter			104200	360000	74500	43000	43000	43000

Current Expenditures According to Program For the years 2010 - 2014

Chapter : 8167 Foreign Stock Exchanges Trading Regulatory Board

(In JDs)

Program 9161 Administration and Support Services								
Group	Item	Description	Actual 2010	Estimated 2011	Re-Estimated 2011	Estimated 2012	Indicative 2013	Indicative 2014
21		Compensations of Employees						
2111		Salaries, Wages and allowances						
	101	Classified Employees' Salaries	0	30000	0	0	0	0
	102	Permanent Unclassified Employees	2844	4500	0	0	0	0
	103	Contract Employees' Salaries	51289	55500	44300	28000	28000	28000
	113	Transportation Allowance	2500	3500	1700	2000	2000	2000
	116	Employees' bonuses	9100	11500	10000	5000	5000	5000
		Total	65733	105000	56000	35000	35000	35000
2121		Social Security Contributions						
	301	Social Security	5667	8000	4000	3000	3000	3000
		Total	5667	8000	4000	3000	3000	3000
22		Use of Goods and Services						
2211		Use of Goods and Services						
	201	Rents	0	25000	0	0	0	0
	202	Telecommunications Services	15151	5000	2000	1000	1000	1000
	203	Water	387	1000	0	0	0	0
	204	Electricity	3585	4000	0	0	0	0
	205	Fuels	2506	4500	1500	700	700	700
	206	Maintenance of Machines, furniture	0	3000	700	500	500	500
	207	Maintenance of Vehicles, Heavy Du	1268	2000	1000	1000	1000	1000
	208	Repair and maintenance of building	0	10000	0	0	0	0
	209	Office Supplies	1935	4000	500	300	300	300
	210	Raw materials (Medicines, Clothes	0	500	0	0	0	0
	211	Cleaning Services and supplies (in	2562	10000	0	0	0	0
	212	Insurance	326	1000	1000	1000	1000	1000
	213	Official Travel Missions	0	4000	0	0	0	0
	214	Other goods and services expense	3280	6000	5300	500	500	500
	999	n.e.c	3280	6000	5300	500	500	500
		Total	31000	80000	12000	5000	5000	5000
28		Other expenditures						
2821		Other current expenses						
	302	Contributions	0	5000	0	0	0	0
	305	Non-Employees' Bonuses	1800	15000	2500	0	0	0
		Total	1800	20000	2500	0	0	0
		Total of Program	104200	213000	74500	43000	43000	43000
Program 9162 Regulation and Control								
Group	Item	Description	Actual 2010	Estimated 2011	Re-Estimated 2011	Estimated 2012	Indicative 2013	Indicative 2014
21		Compensations of Employees						
2111		Salaries, Wages and allowances						
	101	Classified Employees' Salaries	0	20000	0	0	0	0
	102	Permanent Unclassified Employees	0	5000	0	0	0	0
	103	Contract Employees' Salaries	0	87000	0	0	0	0
	113	Transportation Allowance	0	5000	0	0	0	0
	116	Employees' bonuses	0	15000	0	0	0	0
		Total	0	132000	0	0	0	0
2121		Social Security Contributions						
	301	Social Security	0	15000	0	0	0	0
		Total	0	15000	0	0	0	0
		Total of Program	0	147000	0	0	0	0
		Total of Chapter	104200	360000	74500	43000	43000	43000

Overall Summary of Capital Expenditures for the years 2010 - 2014

Chapter : 8167 Foreign Stock Exchanges Trading Regulatory Board

(In JDs)

Group	Item	Description	Actual 2010	Estimated 2011	Re-Estimated 2011	Estimated 2012	Indicative 2013	Indicative 2014
		Expenditures						
22		Use of Goods and Services						
2211		Use of Goods and Services						
	510	Buildings and facilities repair and mainten	0	10000	0	0	0	0
	512	Operating and maintenance Expenses	0	10000	0	0	0	0
		Total	0	20000	0	0	0	0
28		Other expenditures						
2822		Other miscellaneous expenditures						
	504	Studies, Researches and Consultations	0	20000	0	0	0	0
		Total	0	20000	0	0	0	0
		Fixed Assets						
31		Non-financial Assets						
3112		Fixed Assets						
	505	Equipments, Machines and Apparatus	0	40000	0	0	0	0
		Total	0	40000	0	0	0	0
3113		Fixed Assets						
	511	Equipping and furnishing	0	20000	0	0	0	0
		Total	0	20000	0	0	0	0
3122		Inventories						
	503	Materials and supplies	0	20000	0	0	0	0
		Total	0	20000	0	0	0	0
		Total of Chapter	0	120000	0	0	0	0

Capital Expenditures According to Programs and Projects for the years 2010 - 2014

Chapter : 8167 Foreign Stock Exchanges Trading Regulatory Board

(In JDs)

Program : 9161 Administration and Support Services

Project : 001 Administration project

Fund Source : 202001 Capital - Domestic Funding

Group	Item	Description	Actual 2010	Estimated 2011	Re-Estimated 2011	Estimated 2012	Indicative 2013	Indicative 2014
22		Use of Goods and Services						
2211		Use of Goods and Services						
	510	Buildings and facilities repair and mainte						
	008	Miscellaneous buildings maintenance	0	10000	0	0	0	0
		Total of Item	0	10000	0	0	0	0
	512	Operating and maintenance Expenses						
	999	n.e.c	0	10000	0	0	0	0
		Total of Item	0	10000	0	0	0	0
31		Non-financial Assets						
3112		Machinery and Equipment						
	505	Equipments, Machines and Apparatus						
	001	Computers and accessories	0	25000	0	0	0	0
	003	Office apparatus and equipment	0	10000	0	0	0	0
	019	Communcation Devices	0	5000	0	0	0	0
		Total of Item	0	40000	0	0	0	0
3113		Other Fixed Assets						
	511	Equipping and furnishing						
	006	Buildings and Facilities Furnishing and Equipping	0	20000	0	0	0	0
		Total of Item	0	20000	0	0	0	0
3122		Inventories						
	503	Materials and supplies						
	001	Computer Supplies and accessories	0	20000	0	0	0	0
		Total of Item	0	20000	0	0	0	0
		Total of Project	0	100000	0	0	0	0
		Total of Program	0	100000	0	0	0	0

Capital Expenditures According to Programs and Projects for the years 2010 - 2014

Chapter : 8167 Foreign Stock Exchanges Trading Regulatory Board

(In JDs)

Program : 9162 Regulation and Control

Project : 001 Regulating and controlling Program Administration Project

Fund Source : 202001 Capital - Domestic Funding

Group	Item	Description	Actual 2010	Estimated 2011	Re-Estimated 2011	Estimated 2012	Indicative 2013	Indicative 2014
28		Other expenditures						
2822		Other Capital expenditures						
	504	Studies, Researches and Consultations						
	012	Economic Studies	0	20000	0	0	0	0
		Total of Item	0	20000	0	0	0	0
		Total of Project	0	20000	0	0	0	0
		Total of Program	0	20000	0	0	0	0
		Total of Chapter	0	120000	0	0	0	0