Chapter: 8167 Foreign Stock Exchanges Trading Regulatory Board

Vision : Providing efficient services for Foreign Stock Exchange stakeholders.

Mission: Regulating the access and citizen transactions in the foreign stock exchanges through local companies

subject to regulatory standards which maintain, as much as possible, the rights, and investments of companies and investors using control and follow up mechanisms implemented through qualified and

trained staffs for this end.

Legal Framework: Foreign Stock Exchanges Trading Regulating Law No.(50) for the Year 2008(temporarylaw).

Strategic Plan:

Preparation Year: Time Period Of Plan: Date Of Last Update Plan:

Stra	ategic Objectives	/ F	erfor	ma	nce Indicators								
	Strategic		_	_		Base	Value	Actual	Target	Initial Internal			
	Objectives		Performance Measurement		Base	Value	Value	Value	Evaluation		Target		
	Description			Indicators				2010	2011	2011	2012	2013	2014
institu	1 - Building the 1 Satis institutional capacities of the Board.				n percentage of service'	2009	-	-	70%	-	-	-	-
contro comp the fo				me of investments in foreign inges (accumulative) in million		2009	-	-	150	-	-	-	-
Pro	grams / Perform	anc	e Ind	lica	tors								
						Base Value		Actual	Target	Initial Internal			
Goa	Programs			De	scription of Performance	Base	Value	Value	Value	Evaluation		Target	
					Indicators	Year		2010	2011	2011	2012	2013	2014
1	9161 Administration a Support Service:				Percentage of qualified employees.	2009	-	-	85%	-	-	-	-
2	9162 Regulation and 0	Cont	rol		Number of licensed companies(accumulative).	2009	-	-	10	-	-	-	-

Prog	grams A	Appropriations							
				Actual	Estimated	Re-estimated	Estimated	indicative	indicative
Goal		Programs			2011	2011	2012	2013	2014
			Current	104200	213000	74500	43000	43000	43000
1	9161	Administration and Support Services	Capital	0	100000	0	0	0	0
			Total	104200	313000	74500	43000	43000	43000
			Current	0	147000	0	0	0	0
2	9162	Regulation and Control	Capital	0	20000	0	0	0	0
			Total	0	167000	0	0	0	0
			Total of Current	104200	360000	74500	43000	43000	43000
			Total of Capital	0	120000	0	0	0	0
			Total of Chapter	104200	480000	74500	43000	43000	43000

Capita	Capital Projects Appropriations									
			Actual	Estimated	Re-estimated	Estimated	indicative	indicative		
Prog.	ı	Projects	2010	2011	2011	2012	2013	2014		
9161	001	Administration project	0	100000	0	0	0	0		
		Total Of Program	0	100000	0	0	0	0		
9162	001	Regulating and controlling Program Administration Project	0	20000	0	0	0	0		
		Total Of Program	0	20000	0	0	0	0		
		Total	0	120000	0	0	0	0		

Budget Summary of Foreign Stock Exchanges Trading Regulatory Board

(In JDs)

							(111 3128
		Actual	Estimated	Re-estimated	Estimated	Indicative	Indicative
	Description	2010	2011	2011	2012	2013	2014
Revenue	es						
142	Revenues of Selling Goods and Services	0	480000	0	0	0	0
145	Miscellaneous Revenues	550000	0	15000	54000	0	0
	Total Revenues	550000	480000	15000	54000	0	0
Expendit	tures						
A - Curre	nt Expenditures						
211	Salaries, Wages and allowances	65733	237000	56000	35000	35000	35000
212	Social Security Contributions	5667	23000	4000	3000	3000	3000
221	Use of Goods and Services	31000	80000	12000	5000	5000	5000
282	Other miscellaneous expenditures	1800	20000	2500	0	0	0
	Total Current Expenditures	104200	360000	74500	43000	43000	43000
B - Capita	al Expenditures						
202001	Capital - Domestic Funding	0	120000	0	0	0	0
	Total Capital Expenditures	0	120000	0	0	0	0
	Total Expenditures	104200	480000	74500	43000	43000	43000
Deficit \ Si	urplus before Financing	445800	0	-59500	11000	-43000	-43000
	FINA	ANCING B	UDGET		-		
A - Uses							
5113001	Repayment of deficit before financing	0	0	59500	0	43000	43000
5119007	Reserves for Obligations Repayment	5000	0	0	11000	0	0
5119999	Other	550000	0	0	0	0	0
	Total Uses	555000	0	59500	11000	43000	43000
B - Sourc	es		_				·
4113001	Budget Surplus before financing	445800	0	0	11000	0	0
4119004	Usage of reserves for liabilities repayment	69200	0	5000	0	11000	0
4119005	Additional financing from treasury	40000	0	54500	0	32000	43000
	Total Sources	555000	0	59500	11000	43000	43000
Deficit \ S	Surplus after Financing	0	0	0	0	0	0

Revenues

Chapter 8167 Foreign Stock Exchanges Trading Regulatory Board

(In JDs)

Group No.	Item	Description	Actual 2010	Estimated 2011	Re-estimated 2011	Estimated 2012	Indicative 2013	Indicative 2014
1422		Administrative Fees						
	901	Fees Collected by Independent Units						
	019	Licensing Fees	0	480000	0	0	0	0
		Total of Item	0	480000	0	0	0	0
		Total	0	480000	0	0	0	0
1452		Refund from Previous Years Expenditures	•				•	
	001	Refund from Previous Years Expenditures						
	000	Refund from Previous Years Expenditures	550000	0	15000	54000	0	0
		Total of Item	550000	0	15000	54000	0	0
	Total			0	15000	54000	0	0
		Total Revenues	550000	480000	15000	54000	0	0

Overall Summary of Current Expenditures for the years 2010 - 2014

Chapter: 8167 Foreign Stock Exchanges Trading Regulatory Board (In JDs)

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Group	Item	Description	Actual 2010	Estimated 2011	Re-Estimated 2011	Estimated 2012	Indicative 2013	Indicative 2014
21		Compensations of Employees						
2111		Salaries, Wages and allowances						
	101	Classified Employees' Salaries	0	50000	0	0	0	0
	102	Permanent Unclassified Employees' Sala	2844	9500	0	0	0	0
	103	Contract Employees' Salaries	51289	142500	44300	28000	28000	28000
	113	Transportation Allowance	2500	8500	1700	2000	2000	2000
	116	Employees' bonuses	9100	26500	10000	5000	5000	5000
		Total	65733	237000	56000	35000	35000	35000
2121		Social Security Contributions						
	301	Social Security	5667	23000	4000	3000	3000	3000
	l .	Total	5667	23000	4000	3000	3000	3000
22		Use of Goods and Services						
2211		Use of Goods and Services						
	201	Rents	0	25000	0	0	0	0
	202	Telecommunications Services	15151	5000	2000	1000	1000	1000
	203	Water	387	1000	0	0	0	0
	204	Electricity	3585	4000	0	0	0	0
	205	Fuels	2506	4500	1500	700	700	700
	206	Maintenance of Machines, furniture and	0	3000	700	500	500	500
	207	Maintenance of Vehicles, Heavy Duty Ma	1268	2000	1000	1000	1000	1000
	208	Repair and maintenance of buildings and	0	10000	0	0	0	0
	209	Office Supplies	1935	4000	500	300	300	300
	210	Raw materials (Medicines, Clothes, Foo	0	500	0	0	0	0
	211	Cleaning Services and supplies (includi	2562	10000	0	0	0	0
	212	Insurance	326	1000	1000	1000	1000	1000
	213	Official Travel Missions	0	4000	0	0	0	0
	214	Other goods and services expenses	3280	6000	5300	500	500	500
	,	Total	31000	80000	12000	5000	5000	5000
28		Other expenditures						
2821		Other miscellaneous expenditures						
	302	Contributions	0	5000	0	0	0	0
	305	Non-Employees' Bonuses	1800	15000	2500	0	0	0
		Total	1800	20000	2500	0	0	0
		Total of Chapter	104200	360000	74500	43000	43000	43000

Current Expenditures According to Program For the years 2010 - 2014

Total
Total of Program

Total of Chapter

Prog	ram	9161 Administration and Supp	ort Service	es				
Group	Item	Description	Actual 2010	Estimated 2011	Re-Estimated 2011	Estimated 2012	Indicative 2013	Indicative 2014
21		Compensations of Employees						
2111		Salaries, Wages and allowances						
	101	Classified Employees' Salaries	0	30000	0	0	0	0
	102	Permanent Unclassified Employees	2844	4500	0	0	0	0
	103	Contract Employees' Salaries	51289	55500	44300	28000	28000	28000
	113	Transportation Allowance	2500	3500	1700	2000	2000	2000
	116	Employees' bonuses	9100	11500	10000	5000	5000	5000
		Total	65733	105000	56000	35000	35000	35000
2121		Social Security Contributions						
	301	Social Security	5667	8000	4000	3000	3000	3000
		Total	5667	8000	4000	3000	3000	3000
22		Use of Goods and Services						
2211		Use of Goods and Services						
	201	Rents	0	25000	0	0	0	0
	202	Telecommunications Services	15151	5000	2000	1000	1000	1000
	203	Water	387	1000	0	0	0	0
	204	Electricity	3585	4000	0	0	0	0
	205	Fuels	2506	4500	1500	700	700	700
	206	Maintenance of Machines, furniture	0	3000	700	500	500	500
	207	Maintenance of Vehicles, Heavy Du	1268	2000	1000	1000	1000	1000
	208	Repair and maintenance of building	0	10000	0	0	0	0
	209	Office Supplies	1935	4000	500	300	300	300
	210	Raw materials (Medicines, Clothes	0	500	0	0	0	0
	211	Cleaning Services and supplies (in	2562	10000	0	0	0	0
	212	Insurance	326	1000	1000	1000	1000	1000
	213	Official Travel Missions	0	4000	0	0	0	0
	214	Other goods and services expense	3280	6000	5300	500	500	500
	21 4	999 n.e.c	3280	6000	5300	500	500	500
28		Other expenditures Total	31000	80000	12000	5000	5000	5000
2821								
2021	302	Other current expenses	0	5000	0	0	0	0
	305	Contributions Non-Employees' Bonuses	1800	15000	2500	0	0	0
	305				2500	0	0	0
		Total Total of Program	1800 104200	20000 213000	74500	43000	43000	43000
 Prog	ram	9162 Regulation and Control						
Group	Item	Description	Actual 2010	Estimated 2011	Re-Estimated 2011	Estimated 2012	Indicative 2013	Indicative 2014
21		Compensations of Employees						
2111		Salaries, Wages and allowances						
- •	101	Classified Employees' Salaries	0	20000	0	0	0	0
	102	Permanent Unclassified Employees	0	5000	0	0	0	0
	103	Contract Employees' Salaries	0	87000	0	0	0	0
	113	Transportation Allowance	0	5000	0	0	0	0
	116	Employees' bonuses	0	15000	0	0	0	0
	110	Total	0	132000	0	0	0	0
2121		Social Security Contributions		102000				3
	301	Social Security	0	15000	0	0	0	0
	JU 1	555iai 555aiity	1	1	1	1	1	1

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Overall Summary of Capital Expenditures for the years 2010 - 2014

Chapter: 8167 Foreign Stock Exchanges Trading Regulatory Board (In JDs)

					•			(• = • ,
Group	Item	Description	Actual 2010	Estimated 2011	Re-Estimated 2011	Estimated 2012	Indicative 2013	Indicative 2014
		Expenditures						
22		Use of Goods and Services						
2211		Use of Goods and Services						
	510	Buildings and facilities repair and mainten	0	10000	0	0	0	0
	512	Operating and maintenance Expenses	0	10000	0	0	0	0
	•	Total	0	20000	0	0	0	0
28		Other expenditures						
2822		Other miscellaneous expenditures						
	504	Studies, Researches and Consultations	0	20000	0	0	0	0
		Total	0	20000	0	0	0	0
		Fixed Assets						
31		Non-financial Assets						
3112		Fixed Assets						
	505	Equipments, Machines and Apparatus	0	40000	0	0	0	0
	•	Total	0	40000	0	0	0	0
3113		Fixed Assets						
	511	Equipping and furnishing	0	20000	0	0	0	0
		Total	0	20000	0	0	0	0
3122		Inventories						
	503	Materials and supplies	0	20000	0	0	0	0
		Total	0	20000	0	0	0	0
		Total of Chapter	0	120000	0	0	0	0

Capital Expenditures According to Programs and Projects for the years 2010 - 2014

Chapter: 8167 Foreign Stock Exchanges Trading Regulatory Board (In JDs)

Program: 9161 Administration and Support Services

Proje	ct: 0	01 Administration project						
Fund	Sour	ce : 202001 Capital - Domestic Fu	ınding					
Group	Item	Description	Actual 2010	Estimated 2011	Re-Estimated 2011	Estimated 2012	Indicative 2013	Indicative 2014
22		Use of Goods and Services						
2211		Use of Goods and Services						
	510	Buildings and facilities repair and mainte						
	008	Miscellaneous buildings maintenance	0	10000	0	0	0	0
		Total of Item	0	10000	0	0	0	0
	512	Operating and maintenance Expenses						
	999	n.e.c	0	10000	0	0	0	0
		Total of Item	0	10000	0	0	0	0
31		Non-financial Assets						
3112		Machinery and Equipment						
	505	Equipments, Machines and Apparatus						
	001	Computers and accessories	0	25000	0	0	0	0
	003	Office apparatus and equipment	0	10000	0	0	0	0
	019	Communcation Devices	0	5000	0	0	0	0
		Total of Item	0	40000	0	0	0	0
3113		Other Fixed Assets						
	511	Equipping and furnishing						
	006	Buildings and Facilities Furnishing and Equipping	0	20000	0	0	0	0
		Total of Item	0	20000	0	0	0	0
3122		Inventories						
	503	Materials and supplies						
	001	Computer Supplies and accessories	0	20000	0	0	0	0
		Total of Item	0	20000	0	0	0	0
		Total of Project	0	100000	0	0	0	0

100000

Total of Program 0

Capital Expenditures According to Programs and Projects for the years 2010 - 2014

Chapter: 8167 Foreign Stock Exchanges Trading Regulatory Board (In JDs)

Program: 9162 Regulation and Control Regulating and controlling Program Administration Project Project: 001 Fund Source: 202001 Capital - Domestic Funding Item Re-Estimated Group Description Actual Estimated Estimated Indicative Indicative 2010 2011 2011 2012 2013 2014 28 Other expenditures 2822 Other Capital expenditures Studies, Researches and Consultations 012 Economic Studies 20000 Total of Item 0 20000 0 0 0 0 Total of Project 20000 0 0 0 0 Total of Program 20000 0 0 0 0 120000 Total of Chapter